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TRANSMITTAL FORM <i>(to be used for all correspondence during pendency of filed application)</i>		Application Number	10/665,097
		Filing Date	September 16, 2003
		First Named Inventor	John Barrus
		Group Art Unit Number	2173
		Examiner Name	not yet known
Total Number of Pages in This Submission	12*	Attorney Docket Number	20412-07972

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: John Barrus, Stephen Savitsky and Kurt Wesley Piersol
APPLICATION NO.: 10/665,097
FILING DATE: September 16, 2003
TITLE: Action Stickers For Identifying And Processing Stored Documents
EXAMINER: not yet known
GROUP ART UNIT: 2173
ATTY. DKT. NO.: 20412-07972

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Under 37 CFR §§ 1.56 and 1.97-98

SIR:

Pursuant to the provisions of 37 CFR §§ 1.56 and 1.97-98, enclosed herewith is modified form PTO/SB/08A listing references for consideration by the Examiner.

The filing of this Information Disclosure Statement shall not be construed as a representation regarding the completeness of the list of references, or that inclusion of a reference in this list is an admission that it is prior art or is pertinent to this application, or that a search has been made, or as an admission that the information listed is, or may be considered to be, material to patentability, or that no other material information exists, and shall not be construed as an admission against interest in any manner.

This Information Disclosure Statement is being filed:

- ☒ within three months of the filing date of the application, or date of entry into the national stage of an international application, or before the mailing date of a first office action on the merits, whichever event last occurred;
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- ☐ after three months of the filing date of this national application or the date of entry of the national stage in an international application, or after the mailing date of the first official action on the merits, whichever event last occurred, but before the mailing date of the first to occur of either: (1) a final action under 37 CFR §1.113; or (2) an action that otherwise closes prosecution in the application, and:
 - ☐ attached hereto is the fee set forth under 37 CFR §1.17(p) for submission of this Information Disclosure Statement under 37 CFR. § 1.97(c); OR
 - ☐ Applicant certifies pursuant to 37 CFR § 1.97(e) that:
 - ☐ each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; OR
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- ☐ on or before the payment of the issue fee but after the mailing date of the first to occur of either: (1) a final action under 37 CFR § 1.113; (2) a notice of allowance under 37 CFR § 1.311; or (3) an action that otherwise closes prosecution in the application, and:
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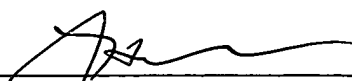
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- ☐ after the payment of the issue fee. Applicant requests that the information contained in this Information Disclosure Statement be placed in the file according to 37 CFR § 1.97(i), although the information may not be considered by the USPTO.
- ☒ Enclosed is a copy of each listed reference that may be material to the examination of this application, and for which there may be a duty to disclose.
- ☐ This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior application No. _____, filed on _____, and the references cited therein are hereby referenced, but are not required to be provided in this application under 37 CFR § 1.98(d).
- ☐ This application was filed after June 30, 2003. Therefore, pursuant to the waiver of the requirements under 37 CFR 1.98(a)(2)(i), copies of each U.S. Patent and each U.S. Patent Application Publication are not required to be submitted. Copies of any foreign patent documents and non-patent literature cited herein are enclosed.
- ☐ Each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application, and the communication was not received by any individual designated in 37 CFR § 1.56(c) more than thirty days prior to the filing of this Information Disclosure Statement. 37 CFR § 1.704(d).
- ☒ Applicant submits that no fee is required for the consideration of this Information Disclosure Statement.

Consideration of the listed references and favorable action are solicited.

Respectfully submitted,
JOHN BARRUS ET AL.

Dated: JAN 10/05

By: 
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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Complete if Known

Application No.	10/665,097
Filing Date	September 16, 2003
First Named Inventor	John Barrus
Art Unit	2173
Examiner Name	not yet known
Attorney Docket Number	20412-07972

Sheet 1 of 8

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document No. Number – Kind Code ² (if known)	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ – Number ⁴ Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T ⁶

OTHER REFERENCES – NON-PATENT LITERATURE DOCUMENTS

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	C1	Acrobat Reader Version 2.0 (software application), Adobe Systems Incorporated, screenshots Figures 1-10 (1994).	
	C2	Adobe Acrobat Reader 3.0 screen dumps (fig. 1-3), 1996.	
	C3	Adobe Premiere (video editing software) from http://www.adobe.com (2002)	
	C4	Adobe Systems, Inc., "Adobe Photoshop 4.0 User Guide for Macintosh and Windows," 1996, Title Page, Copyright Page, Chap. 2, pp. 30-31.	
	C5	Apple Macintosh system 7 reference manual, pages 30-31 (1991)	
	C6	BALL, THOMAS et al., "Software Visualization in the Large," IEEE Computer, Vol. 29, No. 4, April 1996, pp. 33-43, http://www.computer.org/computer/co1996/r4033abs.htm .	
	C7	BEGOLE et al., "Flexible Collaboration Transparency," doc. ID: ncstri.vatach_cs/TR-98-11, Virginia Polytechnic Institute and State University, 1998.	

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20412/07972/DOC'S/1493504.1
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Sheet	2	of	8	Attorney Docket Number	20412-07972

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	C8	BEGOLE et al. "Flexible Collaboration Transparency: Supporting Worker Independence In Replicated Application-Sharing Systems," ACM Transactions On Computer-Human Interaction, June 1999, pp. 95-132, Vol. 6, No. 2.	
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	C15	BRANDOW et al., "Automatic Condensation of Electronic Publications by Sentence Selection," Information Processing and Management, 31(5):875-685, 1995.	
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	C20	CHEN et al., "ViBE: A Video Indexing and Browsing Environment," Proceedings of the SPIE Conference on Multimedia Storage and Archiving Systems, September 20-22, 1999, Boston 3846:148-164, 1999.	

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	C21	CHIU et al., "NoteLook: Taking Notes in Meetings with Digital Video and Ink," ACM Multimedia 99 Orlando, 1999.	
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	C31	"Flexible JAMM Screenshots," downloaded from internet site http://simon.cs.ct.edu/~jamm 5/4/00.	
	C32	FOOTE, J. et al., "An Intelligent Media Browser Using Automatic Multimodal Analysis," ACM Multimedia '98, ACM Press, Pages 375-380, Bristol, UK, 1998.	
	C33	FURUI et al., "Japanese Broadcast News Transaction and Information Extraction," Communications of the ACM, 43(2):71-73, Feb. 2000.	

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	C34	GAUVAIN et al., "Transcribing Broadcast News for Audio and Video Indexing," Communications of the ACM, 43:64-70, 2000.		
	C35	GIBBON, David C., "Generating Hypermedia Documents from Transcriptions of Television Programs Using Parallel Text Alignment," Handbook of Internet and Multimedia Systems and Applications, CRC Press, 1998.		
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	C40	HAUPTMANN et al., "Story Segmentation and Detection of Commercials in Broadcast News Video," Advances in Digital Libraries Conference, Santa Barbara, CA April 22-23, 1998.		
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	C44	HECHT, "Embedded Data Glyph Technology for Hardcopy Digital Documents," Proc. SPIE 2171:341-352, 1994.		
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	46	HILL et al., "Edit Wear and Read Wear," Computer Graphics and Interactive Media Research Group, pp. 3-9, May 1992.		
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	C59	LIANG et al., "Practical Video Indexing and Retrieval System," SPIE 3240:294-303, 1988.		

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	C60	LIENHART et al., "On the Detection and Recognition of Television Commercials," Proc. IEEE Conf. On Multimedia Computing and Systems, Ottawa, Canada, pp. 509-516, June 1997.	
	C61	MA et al., "An Indexing and Browsing System for Home Video," Invited paper, EUSIPCO '2000, 10 th European Signal Processing Conference, 5-8, Sept. 2000, Tampere, Finland.	
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				Application No.	10/665,097
				Filing Date	September 16, 2003
				First Named Inventor	John Barrus
				Art Unit	2173
				Examiner Name	not yet known
Sheet	7	of	8	Attorney Docket Number	20412-07972

OTHER REFERENCES – NON-PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ⁶	
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